

03-29-01

A

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 41982/CAG/B600
Inventor(s) : DAVID JONES
Title : DSL RATE ADAPTATION
Express Mail Label No. : EL521378012US

J1046 U.S. PTO
09/819864

03/28/01

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Date: March 28, 2001

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. **IF A CONTINUING APPLICATION**

☒ This application is a continuation of patent application No. 09/309,340.

Prior application information: Examiner D. Vo; Group Art Unit: 2734

☐ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4),
to provisional Application No.

3. **APPLICATION COMPRISED OF**

Specification

55 Specification, claims and Abstract (total pages)

Drawings

21 Sheets of drawing(s) (FIGS. 1 to 25)

Declaration and Power of Attorney

☐ Newly executed

☐ Unexecuted declaration

☒ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. ☐ **Microfiche Computer Program** (Appendix)

5. ☐ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)

☐ Computer Readable Copy

☐ Paper Copy (identical to computer copy)

☐ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

☐ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL
ENTITY

7. **ALSO ENCLOSED ARE**

☒ Preliminary Amendment

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.: 41982/CAG/B600

- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed as separate papers
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed as separate papers
- ☒ This application is owned by Broadcom Corporation pursuant to an Assignment recorded at Reel 010174, Frame 0443
- ☒ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other

8. CORRESPONDENCE ADDRESS

CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068
Customer Number: 23363

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By Craig A. Gelfound
Craig A. Gelfound
Reg. No. 41,032
626/795-9900

CAG/ceh

**FEE TRANSMITTAL
UTILITY PATENT APPLICATION**

DATE: March 28, 2001

Docket No. : 41982/CAG/B600
Inventor(s) : DAVID JONES
Title : DSL RATE ADAPTATION

FEE DETERMINATION

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	58 - 20	38	x \$9.00	38 x \$18.00	\$684.00
INDEPENDENT CLAIMS	14 - 3	11	x \$40.00	11 x \$80.00	\$880.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$135.00	\$270.00	
BASIC FEE			\$355.00	\$710.00	\$710.00
TOTAL FILING FEE					\$2,274.00
List Independent Claims: 1, 8, 31, 32, 41, 48, 49, 50, 51, 52, 53, 54, 55, 56					

METHOD OF PAYMENT

- ☒ Payment Enclosed: Check for \$2,274.00
- ☒ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By Craig A. Gelfound
Craig A. Gelfound
Reg. No. 41,032
626/795-9900

CAG/ceh

CEH PAS334042.1.*-3/28/01 9:02 AM